REGION 10

Cagayan de Oro-Iponan River Flood Plain: DREAM LiDAR Data Acquistion

and Processing Report



TRAINING CENTER FOR APPLIED GEODESY AND PHOTOGRAMMETRY

2015





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For questions/queries regarding this report, contact:

Engr. Czar Jakiri Sarmiento, MSRS

Project Leader, Data Acquisition Component, DREAM Program University of the Philippines Diliman Quezon City, Philippines 1101 Email: czarjakiri@gmail.com

Engr. Ma. Rosario Concepcion O. Ang, MSRS

Project Leader, Data Processing Component, DREAM Program University of the Philippines Diliman Quezon City, Philippines 1101 Email: concon.ang@gmail.com

Enrico C. Paringit, Dr. Eng.

Program Leader, DREAM Program University of the Philippines Diliman Quezon City, Philippines 1101 E-mail: paringit@gmail.com

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	List Of Target River Systems In The Philippines



Abbreviations

ALTM	Airborne Laser Terrain Mapper
DAC	Data Acquisition Component
DEM	Digital Elevation Model
DSM	Digital Surface Model
DTM	Digital Terrain Model
DVC	Data Validation Component
FOV	Field of View
FTP	File Transfer Protocol
GPS	Global Positioning System
GNSS	Global Navigation Satellite System
POS	Position Orientation System
PRF	Pulse Repetition Frequency
NAMRIA	National Mapping and Resource Information Authority







INTRODUCTION

1.1 About the DREAM Program

The UP Training Center for Applied Geodesy and Photogrammetry (UP TCAGP) conducts a research program entitled "Nationwide Disaster Risk and Exposure Assessment for Mitigation (DREAM) Program" funded by the Department of Science and Technology (DOST) Grants-in-Aid Program. The DREAM Program aims to produce detailed, up-to-date, national elevation dataset for 3D flood and hazard mapping to address disaster risk reduction and mitigation in the country.

The DREAM Program consists of four components that operationalize the various stages of implementation. The Data Acquisition Component (DAC) conducts aerial surveys to collect Light Detecting and Ranging (LiDAR) data and aerial images in major river basins and priority areas. The Data Validation Component (DVC) implements ground surveys to validate acquired LiDAR data, along with bathymetric measurements to gather river discharge data. The Data Processing Component (DPC) processes and compiles all data generated by the DAC and DVC. Finally, the Flood Modeling Component (FMC) utilizes compiled data for flood modeling and simulation.

Overall, the target output is a national elevation dataset suitable for 1:5000 scale mapping, with 50 centimeter horizontal and vertical accuracies. These accuracies are achieved through the use of state-of-the-art airborne Light Detection and Ranging (LiDAR) technology and appended with Synthetic-aperture radar (SAR) in some areas. It collects point cloud data at a rate of 100,000 to 500,000 points per second, and is capable of collecting elevation data at a rate of 300 to 400 square kilometers per day, per sensor.

1.2 Objectives and Target Outputs

The program aims to achieve the following objectives:

a) To acquire a national elevation and resource dataset at sufficient resolution to produce information necessary to support the different phases of disaster management,

b) To operationalize the development of flood hazard models that would produce updated and detailed flood hazard maps for the major river systems in the country,

c) To develop the capacity to process, produce and analyze various proven and potential thematic map layers from the 3D data useful for government agencies,

d) To transfer product development technologies to government agencies with geospatial information requirements, and,

- e) To generate the following outputs
- 1) flood hazard map
- 2) digital surface model
- 3) digital terrain model and
- orthophotograph



1.3 General Methodological Framework

The methodology employed to accomplish the project's expected outputs are subdivided into four (4) major components, as shown in Figure 1. Each component is described in detail in the following sections.

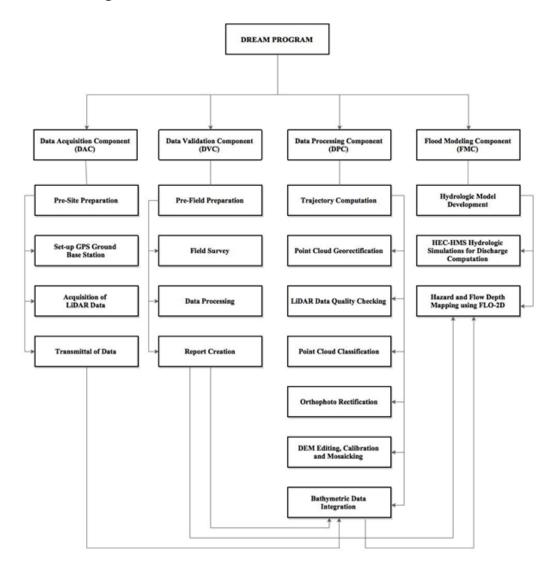


Figure 1. The General Methodological Framework of the Program







Cagayan de Oro River Basin 2.1

The Cagayan de Oro (CDO) River Basin is located in the northern coast of Mindanao. The CDO River Basin is the sixteenth largest river basin in the Philippines with an estimated basin area of 1,521 square kilometres. The location of the Cagayan de Oro River Basin is as shown in Figure 2.

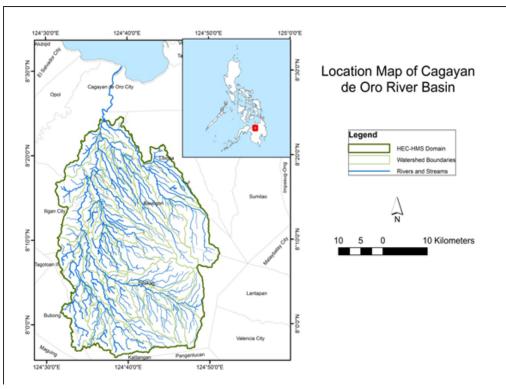


Figure 2. Cagayan de Oro Basin Location Map

It includes Cagayan de Oro City in Misamis Oriental and the municipalities of Talakag, Baungon and Libona in Bukidnon. It has Cagayan de Oro River as its main channel with major tributaries including Kalawaig River, Tagite River, Bubunaoan River, and Tumalaong River and discharges the load to Macajalar Bay.

The land and soil characteristics are important parameters used in assigning the roughness coefficient for different areas within the river basin. The roughness coefficient, also called Manning's coefficient, represents the variable flow of water in different land covers (i.e. rougher, restricted flow within vegetated areas, smoother flow within channels and fluvial environments).

The shape files of the soil and land cover were taken from the Bureau of Soils, which is under the Department of Environment and Natural Resources Management, and National Mapping and Resource Information Authority (NAMRIA). The soil and land cover of the Cagayan de Oro River Basin are shown in Figure 3 and Figure 4, respectively.



Study Area

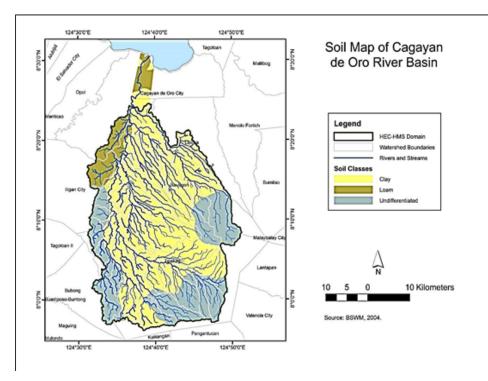


Figure 3. Cagayan de Oro River Basin Soil Map

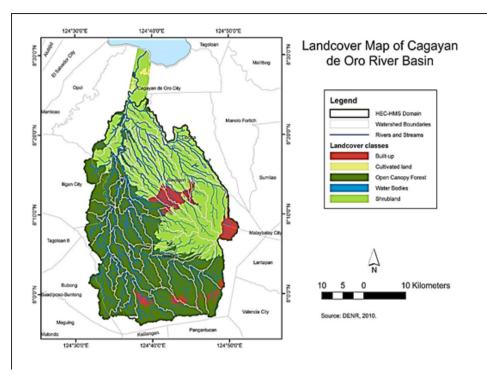


Figure 4. Cagayan de Oro River Basin Land Cover Map



Iponan River Basin 2.2

Iponan River Basin is located in the northern part of Mindanao. It covers an estimated basin area of 407 square kilometers. The location of Iponan River Basin is as shown in Figure 5.

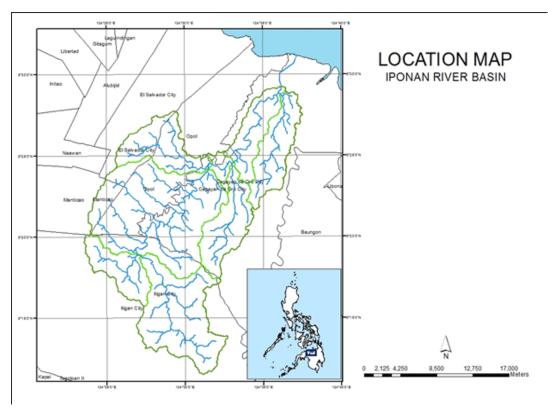


Figure 5. The Iponan River Basin Location Map

It traverses through Iponan City in Misamis Oriental and the municipalities of Talakag, Baungon and Libona in Bukidnon. Iponan River, the main tributary of this river basin, has a length of 60 kilometers running from Iligan City draining towards Macajalar Bay. Its drainage area is 407 square kilometers.

The land and soil characteristics are important parameters used in assigning the roughness coefficient for different areas within the river basin. The roughness coefficient, also called Manning's coefficient, represents the variable flow of water in different land covers (i.e. rougher, restricted flow within vegetated areas, smoother flow within channels and fluvial environments).

The shape files of the soil and land cover were taken from the Bureau of Soils, which is under the Department of Environment and Natural Resources Management, and National Mapping and Resource Information Authority (NAMRIA). The soil and land cover of the Iponan River Basin are shown in Figure 6 and Figure 7, respectively.



Study Area

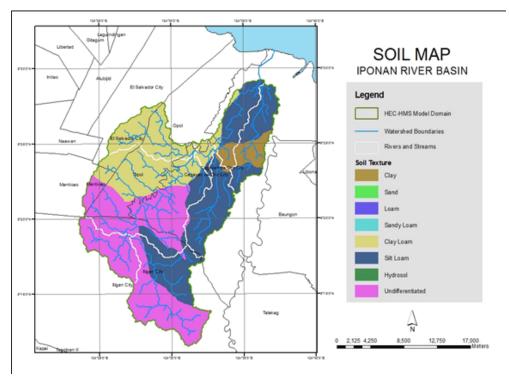


Figure 6. Iponan River Basin Soil Map

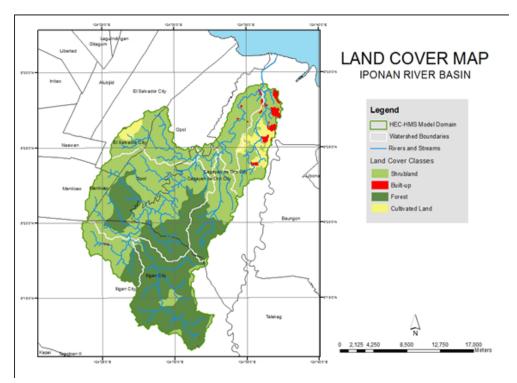


Figure 7. Iponan River Basin Land Cover Map

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Acquisition Methodology 3.1

The methodology employed to accomplish the project's expected outputs are subdivided into four (4) major components, as shown in Figure 8. Each component is described in detail in the following sections.

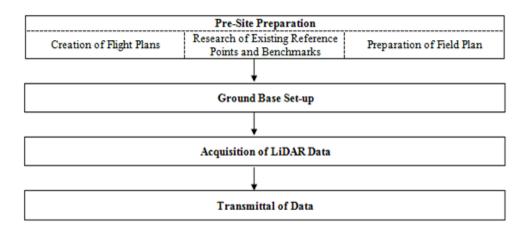


Figure 8. Flowchart of project methodology

3.1.1 Pre-Site Preparations

Creation of Flight Plans 3.1.1.1

Flight planning is the process of configuring the parameters of the aircraft and LiDAR technology (i.e., altitude, angular field of view (FOV)), speed of the aircraft, scans frequency and pulse repetition frequency) to achieve a target of two points per square meter point density for the floodplain. This ensures that areas of the floodplain that are most susceptible to floods will be covered. LiDAR parameters and their computations are shown in Table 1.

The parameters set in the LiDAR sensor to optimize the area coverage following the objectives of the project and to ensure the aircraft's safe return to the airport (base of operations) are shown in Table 1. Each flight acquisition is designed for four operational hours. The maximum flying hours for Cessna 206H is five hours.



		inputation	
SW (Swath	Width)	SW = 2 * H * tan (θ /2)	H – altitude Θ – angular FOV
Point Spac- ing	ΔX- across	ΔXacross = (Θ * H) / (Ncos2(Θ/2))	ΔXacross – point spacing across the flight line H – altitude Θ – angular FOV N – number of points in one scanning line
	ΔX- along	ΔXalong = v / fsc	ΔXalong- point spacing along the flight line v – forward speed (m/s) fsc – scanning rate or scan frequency
Point densit	y, dmin	dmin = 1 / (ΔXacross * ΔX- along)	ΔXacross, ΔXalong point spacings
Flight line sep e	paration,	e = SW * (1 – overlapping factor)	SW – swath width
# of flight li	ines, n	n = w / [(1 – overlap) * SW]	w-width of the map that will be produce in meters. The direction of flights will be perpendicular to the width.

Table 1. Relevant LiDAR parameters

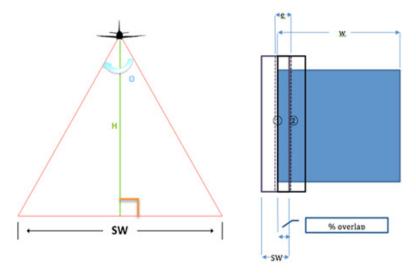


Figure 9. Concept of LiDAR data acquisition parameters

The relationship among altitude, swath, and FOV is show in Figure 9. Given the altitude of the survey (H) and the angular FOV, the survey coverage for each pass (swath) can be calculated by doubling the product of altitude and tangent of half the field of view.

3.1.1.2 Collection of Existing Reference Points and Benchmarks

Collection of pertinent technical data, available information, and coordination with the National Mapping and Resource Information Authority (NAMRIA) is conducted prior to the surveys. Reference data collected includes locations and descriptions of horizontal and vertical control (elevation benchmarks) points within or near the project area. These control points are used as base stations for the aerial survey operations. Base stations are observed simultaneously with the acquisition flights.



Preparation of Field Plan 3.1.1.3

In preparation for the field reconnaissance and actual LiDAR data acquisition, a field plan is prepared by the implementation team. The field plan serves as a guide for the actual fieldwork and included personnel, logistical, financial, and technical details. Three major factors are included in field plan preparation: priority areas for the major river basin system; budget; and accommodation and vehicle rental.

LiDAR data are acquired for the floodplain area of the river system as per order of priority based on history of flooding, loss of lives, and damages of property. The order of priority in which LiDAR data surveys are conducted by the team for the floodplain areas of the 18 major river systems and 3 additional systems is shown in Table 2.

	Target River	Location	Area of the	Area of the	Area of the
	System		River System	Flood Plain	Watershed
			(km2)	(km2)	(km2)
1	Cagayan de Oro	Mindanao	1,364	25	1,338.51
1.1	Iponan	Mindanao	438	33	404.65
2	Mandulog	Mindanao	714	7	707.41
2.1	Iligan	Mindanao	153	7	146.38
2.2	Agus	Mindanao	1,918	16	1,901.60
3	Pampanga	Luzon	11,160	4458	6702
4	Agno	Luzon	6,220	1725	4495
5	Bicol	Luzon	3,173	585	2,587.79
6	Panay	Visayas	2,442	619	1823
7	Jalaur	Visayas	2,105	713	1,392.00
8	llog Hilabangan	Visayas	2,146	179	1967
9	Magasawang Tubig	Luzon	1,960	483	1,477.08
10	Agusan	Mindanao	11,814	262	11,551.62
11	Tagoloan	Mindanao	1,753	30	1,722.90
12	Davao	Mindanao	1,609	54	1555
13	Tagum	Mindanao	2,504	595	1,909.23
14	Buayan	Mindanao	1,589	201	1,388.21
15	Mindanao	Mindanao	20,963	405	20,557.53
16	Lucena	Luzon	238	49	189.31
17	Infanta	Luzon	1,029	90	938.61
18	Boracay	Visayas	43.34	43.34	n/a
19	Cagayan	Luzon	28,221	10386	17,835.14

Table 2. List of Target River Systems in the Philippines.



3.1.2 Ground Base Set-up

A reconnaissance is conducted one day before the actual LiDAR survey for purposes of recovering control point monuments on the ground and site visits of the survey area set in the flight plan for the floodplain. Coordination meetings with the Airport Manager, regional DOST office, local government units and other concerned line government agencies are also held.

Ground base stations are established within 30-kilometer radius of the corresponding survey area in the flight plan. This enables the system to establish its position in three-dimensional (3D) space so that the acquired topographic data will have an accurate 3D position since the survey required simultaneous observation with a base station on the ground using terrestrial Global Navigation Satellite System (GNSS) receivers.

3.1.3 Acquisition of Digital Elevation Data (LiDAR Survey)

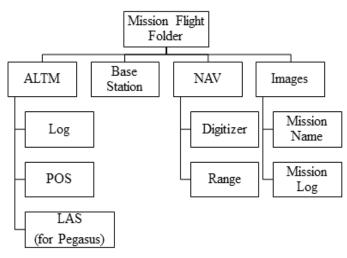
Acquisition of LiDAR data is done by following the flight plans. The survey uses a LiDAR instrument mounted on the aircraft with its sensor positioned through a specially modified peep hole on the belly of the aircraft. The pilots are guided by the flight guidance software which uses the data out of the flight planning program with a mini-display at the pilot's cockpit showing the aircraft's real-time position relative to the current survey flight line. The reference points established by NAMRIA are also monitored and used to calibrate the data.

As the system collected LiDAR data, ranges and intensities are recorded on hard drives dedicated to the system while the images are stored on the camera hard drive. Position Orientation System (POS) data is recorded on the POS computer inside the control rack. It can only be accessed and downloaded via file transfer protocol (ftp) to the laptop computer. GPS observations were downloaded each day for efficient data management.

3.1.4 Transmittal of Acquired LiDAR Data

All data surrendered are monitored, inspected and re-checked by securing a data transfer checklist signed by the downloader (Data Acquisition Component) and the receiver (Data Processing Component). The data transfer checklist shall include the following: date of survey, mission name, flight number, disk size of the necessary data (LAS, LOGS, POS, Images, Mission Log File, Range, Digitizer and the Base Station), and the data directory within the server. Figure 10 shows the arrangement of folders inside the data server.







3.1.5 Equipment (ALTM Pegasus)

The ALTM Pegasus (Optech, Inc) is a laser based system suitable for topographic survey (Figure 11). It has a dual output laser system for maximum density capability. The LiDAR system is equipped with an Inertial Measurement Unit (IMU) and GPS for geo-referencing of the acquired data (Annex A contains the technical specification of the system).

The camera of the Pegasus sensor is tightly integrated with the system. It has a footprint of 8,900 pixels across by 6,700 pixels along the flight line (Annex B contains the technical specification of the D-8900 aerial digital camera).



Figure 11. The ALTM Pegasus System: a) parts of the Pegasus system, b) the system as installed in Cessna T206H



3.2 Processing Methodology

The schematic diagram of the workflow implemented by the Data Processing Component (DPC) is shown in Figure 12. The raw data collected by the Data Acquisition Component (DAC) is transferred to DPC. Pre-processing of this data starts with the computation of trajectory and georectification of point cloud, in which the coordinates of the LiDAR point cloud data are adjusted and checked for gaps and shifts, using POSPac, LMS, LAStools and Quick Terrain (QT) Modeler software.

The unclassified LiDAR data then undergoes point cloud classification, which allows cleaning of noise data that are not necessary for further processing, using TerraScan software. The classified point cloud data in ASCII format is used to generate a data elevation model (DEM), which is edited and calibrated with the use of validation and bathymetric survey data collected from the field by the Data Validation and Bathymetry Component (DVBC). The final DEM is then used by the Flood Modeling Component (FMC) to generate the flood models for different flooding scenarios.

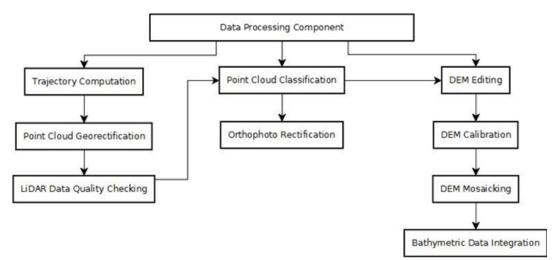


Figure 12. Schematic diagram of the data processing

3.2.1 Data Transfer

The missions are named 1CDO1A116A and 1CDO1B117A, which was flown with the Airborne LiDAR Terrain Mapper (ALTM[™] Optech Inc.) Pegasus system, during April 26 – 27 2013. The Data Acquisition Component (DAC) transferred 34.9 Gigabytes of range data, 437 Megabytes of POS data, 15.1 Megabytes of GPS base station data, and 58 Gigabytes of raw image data to the data server on May 14, 2013.



3.2.2 Trajectory Computation

The trajectory of the aircraft is computed using the software POSPac MMS v6.2. It combines the POS data from the integrated GPS/INS system installed on the aircraft, and the Rinex data from the GPS base station located within 25 kilometers of the area. It then computes the Smoothed Best Estimated Trajectory (SBET) file, which contains the best estimated trajectory of the aircraft, and the Smoothed Root Mean Square Estimation error file (SMRMSG), which contains the corresponding standard deviations of the position parameters of the aircraft at every point on the computed trajectory.

The key parameters checked to evaluate the performance of the trajectory are the Solution Status parameters and the Smoothed Performance Metrics parameters. The Solution Status parameters characterize the GPS satellite geometry and baseline length at the time of acquisition, and the processing mode used by POSPac. The acceptable values for each Solution Status parameter are shown in Table 3.

The Smoothed Performance Metrics parameters describe the root mean square error (RMSE) for the north, east and down (vertical) position of the aircraft for each point in the computed trajectory. A RMSE value of less than 4 centimeters for the north and east position is acceptable, while a value of less than 8 centimeters is acceptable for the down position.

Parameter	Optimal values
Number of satellites	More than 6 satellites
Position Dilution of Precision (PDOP)	Less than 3
Baseline Length	Less than 30 km
Processing mode	Less than or equal to 1, however short bursts of values greater than 1 are acceptable.

Table 3. Solution Status parameters in POSPac MMS v6.2.

3.2.3 LiDAR Point Cloud Rectification

The trajectory file (SBET) and its corresponding accuracy file (SMRMSG) generated in POSPac are merged with the Range file to compute the coordinates of each individual point. The coordinates of points within the overlap region of contiguous strips vary due to small deviations in the trajectory computation for each strip. These strip misalignments are corrected by matching points from overlapping laser strips. This is done by the LiDAR Mapping Suite (LMS) software developed by Optech.

LMS is a LiDAR software package used for automated LiDAR rectification. It has the capability to extract planar features per flight line and to form correspondence among the identical planes available in the overlapping areas (illustrated in Figure 13). In order to produce geometrically correct point cloud, the redundancy in the overlapping areas of flight lines is used to determine the necessary corrections for the observations.



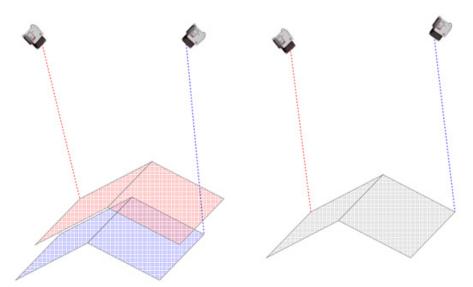


Figure 13. Misalignment of a single roof plane from two adjacent flight lines, before rectification (left). Least squares adjusted roof plane, after rectification (right).

The orientation parameters are corrected in LMS by using least squares adjustment to obtain the best-fit parameters and improve the accuracy of the LiDAR data. The primary indicators of the LiDAR rectification accuracy are the standard deviations of the corrections of the orientation parameters. These values are seen on the Boresight corrections, GPS position corrections, and IMU attitude corrections, all of which are located on the LMS processing summary report. Optimum accuracy is obtained if the Boresight and IMU attitude correction standard deviations are less than 0.001°, and if the GPS position standard deviations are below 0.01 m.

3.2.4 LiDAR Data Quality Checking

After the orientation parameters are corrected and the point cloud coordinates are computed, the entire point cloud data undergoes quality checking, to see if: (a) there are remaining horizontal and vertical misalignments between contiguous strips, and; (b) to check if the density of the point cloud data reach the target density for the site. The LAStools software is used to compute for the elevation difference in the overlaps between strips and the point cloud density. It is a software package developed by Rapidlasso GmbH for filtering, tiling, classifying, rasterizing, triangulating and quality checking Terabytes of LiDAR data, using robust algorithms, efficient I/O tools and memory management. LAStools can quickly create raster representing the computed quantities, which provide guiding images in determining areas where further quality checks are necessary. The target requirements for floodplain acquisition, computed by LAStools, are shown in Table 4.

Criteria	Requirement
Minimum per cent overlap	25%
Average point cloud density per square meter	2.0
Elevation difference between strips (on flat areas)	0.20 meters

Table 4. Parameters Investigated During Quality Checks



LAStools can provide guides where elevation differences probably exceed the 20 centimeters limit. An example of LAStools output raster visualizing points in the flight line overlaps with a vertical difference of +/- 20 centimeters (displayed as dense red/blue areas) is shown in Figure 14.

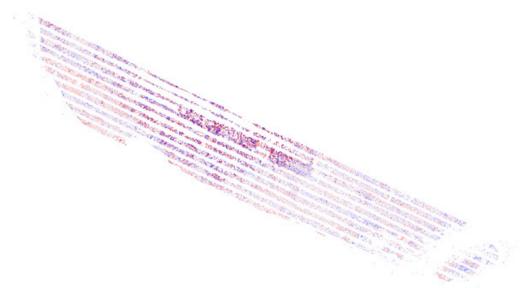


Figure 14. Elevation difference between flight lines generated from LAStools

To investigate the occurrences of elevation differences in finer detail, the profiling tool of Quick Terrain Modeler software is used. Quick Terrain Modeler (QT Modeler) is a 3D point cloud and terrain visualization software package developed by Applied Imagery, Inc. The profiling capability of QT Modeler is illustrated in Figure 15.



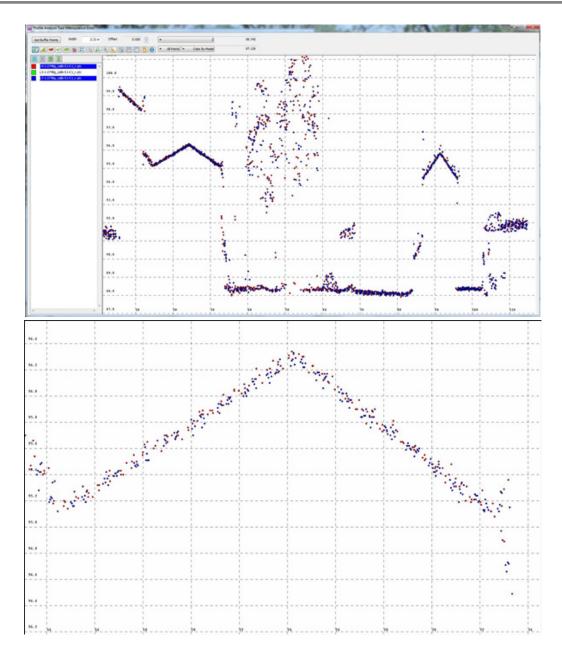


Figure 15. Profile over roof planes (a) and a zoomed-in profile on the area encircled in yellow (b)

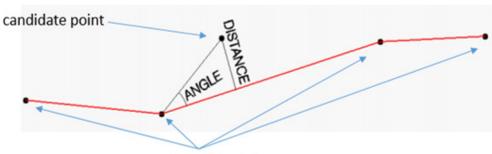
The profile (e.g., over a roof plane) shows the overlapping points from different flight lines which serve as a good indicator that the correction applied by LMS for individual flight lines is good enough to attain the desired horizontal and vertical accuracy requirements. Flight lines that do not pass quality checking are subject for reprocessing in LMS until desired accuracies are obtained.



3.2.5 LiDAR Point Cloud Classification

Point cloud classification commences after the point cloud data has been rectified. TerraScan is a TerraSolid LiDAR software suite used for the classification of point clouds. It can read airborne and vehicle-based laser data in raw laser format, LAS, TerraScan binary or other ASCII-survey formats. Its classification and filtering routines are optimized by dividing the whole data into smaller geographical datasets called blocks, to automate the workflow and increase efficiency. In this study, the blocks were set to 1 kilometer by 1 kilometer with a 50 m buffer zone to prevent edge effects.

The process includes the classification of all points into Ground, Low Vegetation, Medium Vegetation, High Vegetation and Buildings. The classifier tool in TerraScan first filters air points and low points by finding points that are 5 standard deviations away from the median elevation of a search radius, which is 5 meters by default. It then divides the region into 6om by 6om search areas (the maximum area where at least one laser point hits the ground) and assigns the lowest points in these areas as the initial ground points from which a triangulated ground model is derived. The classifier then iterates through all the points and adds the points to the ground model by testing if it is (a) within the maximum iteration angle of 4° by default from a triangle plane, and (b) if it is within the maximum iteration distance (1.2 m by default) from a triangle plane. The ground plane is continuously updated from these iterations. The ground classification technique is illustrated in Figure 16. It is apparent that the smaller the iteration angle, the less eager the classifier is to follow changes in the point cloud (small undulations in terrain or hits on low vegetation). An angle close to 4° is used in flat terrain areas while an angle of 10° is used in mountainous or hilly terrains.



ground model points

Figure 16. Ground classification technique employed in Terrascan

The parameters for ground classification routines used in floodplain and watershed areas are listed in Table 5.

Table 5. Ground Classification Parameters Used in Terrascan for Floodplain and Watershed Areas

Classification maximums	Floodplain (default)	Watershed (adjusted)
Iteration angle (degrees)	4	8
Iteration distance (meters)	1.20	1.50



The comparison between the produced DTM using the default parameters versus the adjusted is shown in Figure 17. The default parameters may fail to capture the sudden change in the terrain, resulting to less points being classified as ground that makes the DTM interpolated (Figure 17a). The adjusted parameters works better in these spatial conditions as shown in Figure 17b. Statistically, the number of ground points and model key points correctly classified can increase by as much as fifty percent (50%) when using the adjusted parameters.

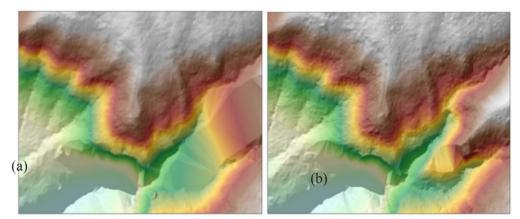


Figure 17. Resulting DTM of ground classification using the default parameters (a) and adjusted parameters (b)

The classification to Low, Medium and High vegetation is a straightforward testing of how high a point is from the ground model. The range of elevation values and its corresponding classification is shown in Table 6.

Elevation of points (meters)	Classification
0.05 to 0.15	Low Vegetation
0.15 to 2.50	Medium Vegetation
2.50 to 50.0	High Vegetation

Table 6. Classification of Vegetation According to the Elevation of Points

The classification to Buildings routine tests points above two meters (2.0 m) if they only have one echo, and if they form a planar surface of at least 40 square meters with points adjacent to them. Minimum size and Z tolerance are the parameters used in the classify buildings routine as shown in Figure 18.



Ground class:	2 - Grour	nd		
From class:	5 - High	5 - High Vegetation 💌		
To class:	6 - Building 👻			
Accept using:		e fence	only	
	40	m ²	building	
Z tolerance:		m	building	
	Use	echo inf	ormation	

Figure 18. Default TerraScan building classification parameters

Minimum size is set to the smallest building footprint size of 40 square meters while the Z tolerance of 20 centimeters is the approximate elevation accuracy of the laser points.

The point cloud data are examined for possible occurrences of air points which are to be deleted manually in the TerraScan window. Air points are defined as groups of points which are significantly higher or lower from the ground points. The different examples of air points are shown in Figure 19.

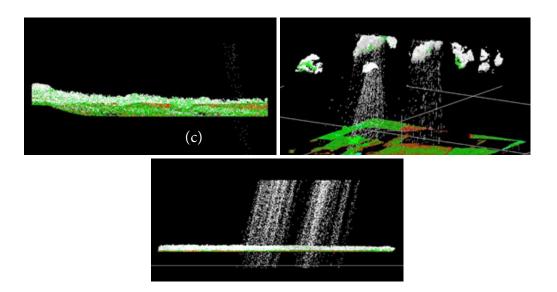


Figure 19. Different examples of air points manually deleted in the TerraScan window

The noise data can be as negligible as shown in Figure 19a or can be as severe as the one shown in Figure 19c. A combination of cloud points and shower of short ranges is displayed in Figure 19b. Shower of short ranges are caused by signal interference from the radio transmission of the tower and the aircraft. During every transmission on a specific frequency (around 120MHz), the signal is getting distorted due to the interference causing showers of short ranges in the output LAS.



Classified LiDAR point clouds that are free of air points, noise and unwanted data are processed in TerraScan to produce Digital Terrain Model (DTM) and the corresponding first and last return Digital Surface Models (DSM). These ground models are produced in the American Standard Code for Information Interchange format (ASCII) format. DTMs are produced by rasterizing all points classified to ground and model key points in a 1 m by 1 m grid. The last return DSMs are produced by rasterizing all last returns from all classifications (Ground, Model Key Points, Low, Medium, High Vegetation, Buildings and Default) in a 1 m by 1 m grid. The first return DSMs on the other hand are produced by rasterizing all first returns from all classifications. Power lines are usually included in this model. All of these ground models are used in the mosaicking, manual editing and hydro correction of the topographic dataset, in preparation for the floodplain hydraulic modelling.

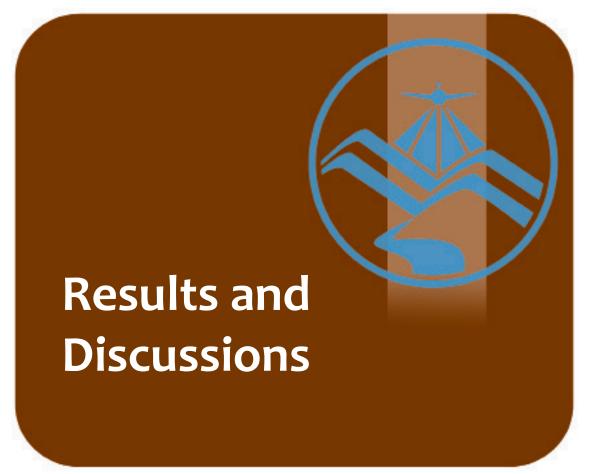
3.2.6 DEM Editing and Hydro-correction

Even though the parameters of the classification routines are optimized, various digital elevation models (DTM, first and last return DSM) that are automatically produced may still display minor errors that still need manual correction to make the DEMs suitable for fine-scale flood modelling. This is true especially for features that are under heavy canopy. Natural embankments on the side of the river might be flattened or misrepresented because no point pierced the canopy on that area. The same difficulty might also occur on smaller streams that are under canopy. The DTM produced might have discontinuities on these channels that might affect the flood modelling negatively. Manual inspection and correction is still a very important part of quality checking the LiDAR DEMs produced.

To correctly portray the dynamics of the flow of water on the floodplain, the river geometry must also be taken into consideration. The LiDAR data must be made consistent to the topographic surveys done for the area, and the bathymetric data must be "burned", or integrated, into the DEM to make the dataset suitable for hydraulic analyses. However, no cross-sectional survey was performed for this area.









4.1 LiDAR Data Acquisition in Cagayan de Oro and Iponan Floodplains

4.1.1 Flight Plans

Plans were made to acquire LiDAR data within the Cagayan de Oro and Iponan floodplain. Each flight mission had an average of 15 flight lines and ran for at most 4 hours including takeoff, landing and turning time. The parameter used in the LiDAR system for acquisition is found in Table 7.

Fixed Variables	Values		
Flying Height (AGL – Above Ground Level) (m)	750	1000	1200
Overlap	30 %	30 %	30 %
Max. field of View (θ)	50	50	50
Speed of Plane (kts)	130	130	130
Turn around minutes	5	5	5
Swath (m)	661.58m	882m	1058.53m

Table 7. Parameters Used in LiDAR System During Flight Acquisition

The parameters that set in the LiDAR sensor to optimize the area coverage following the objectives of the project and to ensure the aircraft's safe return to the airport (base of operations) are shown in Table 7. Each flight acquisition is designed for four operational hours. The maximum flying hours for Cessna 206H is five hours.



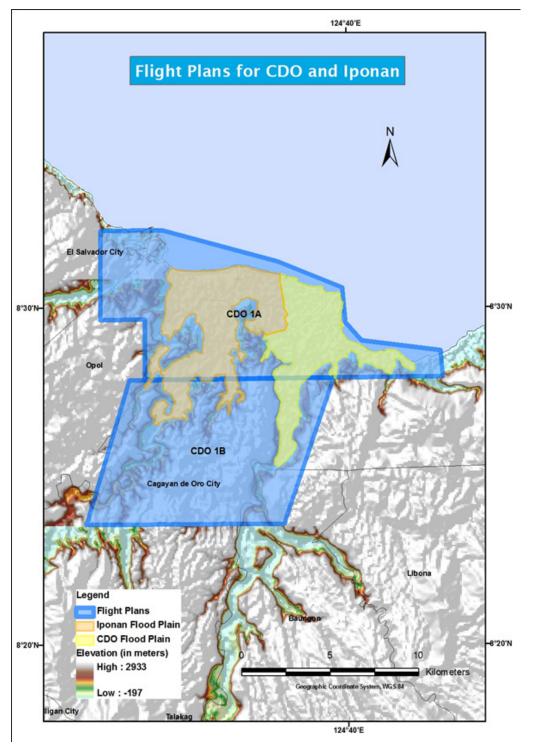


Figure 20. Cagayan de Oro and Iponan floodplain flight plans



4.1.2 Ground Base Station

The project team was able to recover one (1) NAMRIA control station (MSE-3241) with third (3rd) order accuracy. The certification for the base station is found in Annex C and the Benchmark Ortho values were obtained from the report of the Data Validation Component. The ground control point (GCP) was used as reference point during flight operations using TRIM-BLE SPS R8, a dual frequency GPS receiver.

Station Name	MRE-26		
Order of Accuracy	3rd		
Relative Error (horizontal positioning)	1 in 10,000		
Geographic Coordinates,	Latitude	8° 27' 31.07607"	
Philippine Reference of	Longitude	124° 37' 23.18891"	
1992 Datum (PRS92)	Ellipsoidal Height	109.467 meters	
Grid Coordinates, Philip- pine Transverse Mercator	Easting	458499.251 meters	
Zone 5 (PTM Zone PRS92)	Northing	935289.275 meters	
Grid Coordinates, World	Latitude	8° 27' 27.49638" North	
Geodetic System 1984	Longitude	124° 37' 28.59587" East	
Datum (WGS 84)	Ellipsoidal Height	177.055 meters	
Grid Coordinates, Universal Transverse Mercator Zone	Easting	678684.71 meters	
51 North (UTM 51N WGS 1984)	Northing	935314.30 meters	

Table 8. Details of MRE-26 GCP Used as Base Station for the LiDAR Acquisition



Figure 21. MSE-3241 located near SM Cagayan de Oro branch and beside Petron gasoline station



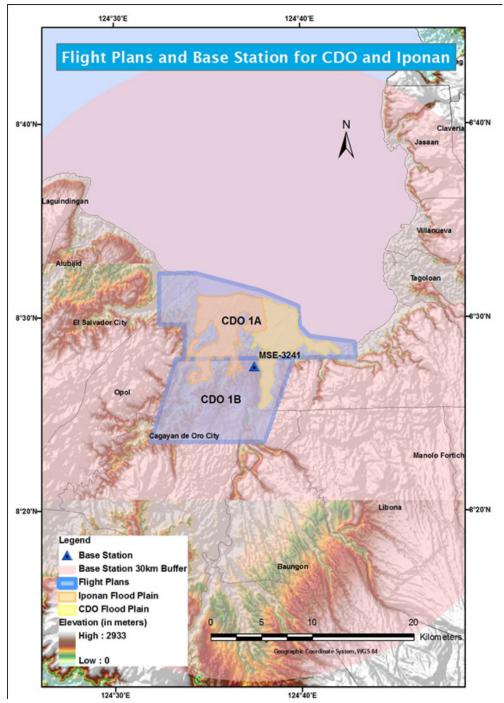


Figure 22. Cagayan de Oro and Iponan flight plans and base station



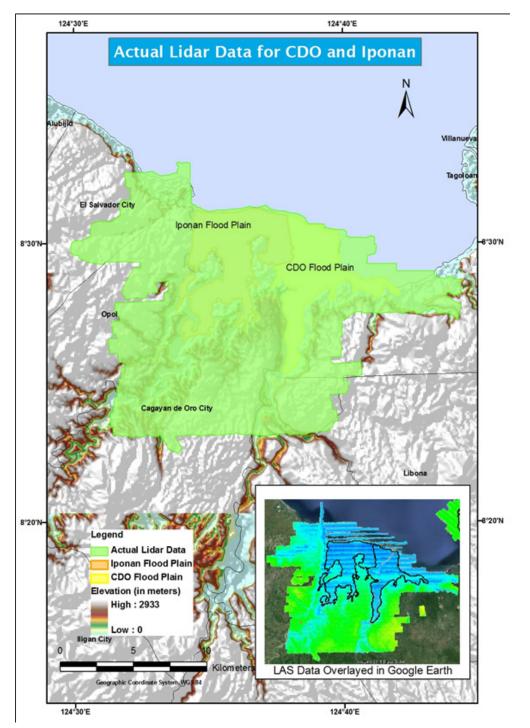


Figure 23. Cagayan de Oro and Iponan floodplain data acquisition LAS output



Table 9. Flight Missions for LiDAR Data Acquisition in Cagayan de Oro and Iponan floodplains

				Area	Area		Flyin	g Hours
Date Sur- veyed	Name	Flight Plan Area (km2)	Sur- veyed Area (km2)	Sur- veyed within the River Systems (km2)	Sur- veyed Outside the River Systems (km2)	No. of Images (Frames)	Hours	Minutes
April 26, 2013	CDO 1 A	99	105	58	47	485	3	10
April 27, 2013	CDO 1 B	90	144	118	26	403	2	20

Two missions were conducted to complete the LiDAR Data Acquisition in Cagayan de Oro and Iponan floodplains, for a total of five hours and 30 minutes of flying time for RP-C9022. Both missions were acquired using the Pegasus LiDAR System. Table 9 shows the total area to be surveyed according to the flight plan and the total area of actual coverage per mission.

Cagayan de Oro floodplain with twenty five (25) square kilometer and Iponan floodplain with thirty three (33) square kilometers were completely surveyed from April 26-27, 2013 by Mark Gregory Ano as shown in Table 10.

Location	Date Sur- veyed	Opera- tor	Mission Name	Flood- plain Sur- veyed Area (km2)	Total Flood- plain Area (km2)	Water- shed Sur- veyed Area (km2)	Total Water- shed Area (km2)
Cagayan de Oro	April 26, 2013	M. Ano	1CDO- A116A	20	25	3	4228 54
	April 27, 2013	M. Ano	1CDO- B117A	5	25	43	1338.51
Iponan	April 26, 2013	M. Ano	1CDO- A116A	28	22	7	404.65
	April 27, 2013	M. Ano	1CDO- B117A	5	33	65	404.65

Table 10. Area of Coverage of the LiDAR Data Acquisition in Davao floodplain

4.2 LiDAR Data Processing

4.2.1 Trajectory Computation

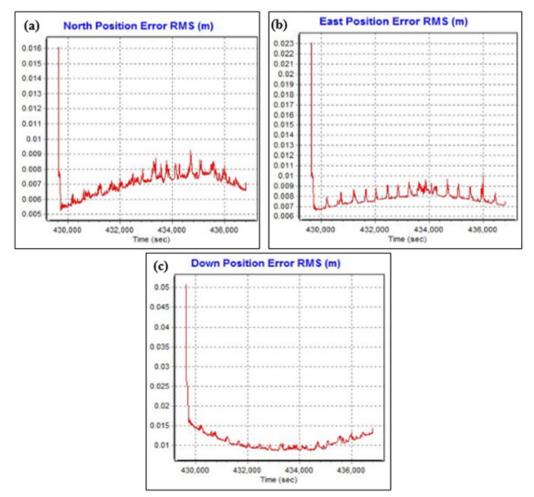


Figure 24. Smoothed Performance Metric Parameters of Cagayan de Oro flight

The Smoothed Performance Metric parameters of the Cagayan de Oro flight are shown in Figure 24. The x-axis is the time of flight, which is measured by the number of seconds from the midnight of the start of the GPS week. The y-axis is the RMSE value for a particular aircraft position with respect to GPS survey time. The North (Figure 24a) and east (Figure 24b) position RMSE values fall within the prescribed accuracy of 4 centimeter, and all Down (Figure 24c) position RMSE values fall within the prescribed accuracy of 8 centimeter.



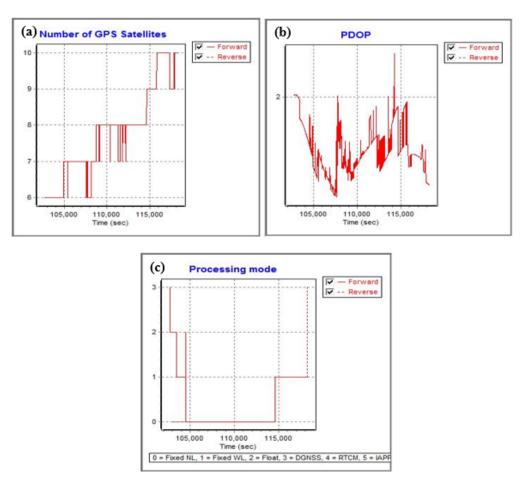
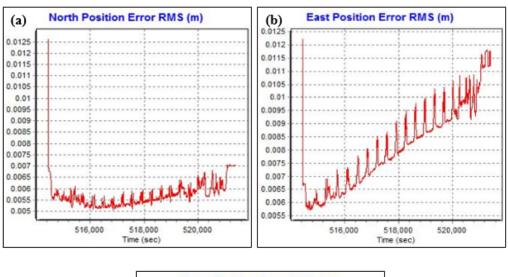


Figure 25. Solution Status Parameters of Cagayan de Oro flight

The Solution Status parameters of the computed trajectory for Cagayan de Oro flight, which are the number of GPS satellites, Positional Dilution of Precision (PDOP), and the GPS processing mode used are shown in Figure 25. The number of GPS satellites (Figure 25a) graph indicates that the number of satellites during the acquisition was between 7 and 9. The PDOP (Figure 25b) value does not exceed the value of 3, indicating optimal GPS geometry. The processing mode (Figure 25c) varies from 0 to 3, the value 0 corresponds to a Fixed, Narrow-Lane mode, which indicates an optimum solution for trajectory computation by POSPac MMS v6.2; the value 1 corresponds a Wide-Lane mode; and the value 2 corresponds a Float mode. All of the parameters satisfied the accuracy requirements for optimal trajectory solutions as indicated in the methodology.





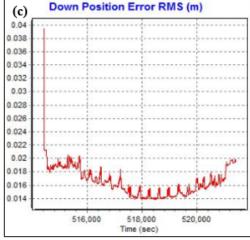


Figure 26. Smoothed Performance Metric Parameters of Iponan flight

The Smoothed Performance Metric parameters of the Iponan are shown in Figure 26. The x-axis is the time of flight, which is measured by the number of seconds from the midnight of the start of the GPS week. The y-axis is the RMSE value for a particular aircraft position with respect to GPS survey time. The North (Figure 26a) and east (Figure 26b) position RMSE values fall within the prescribed accuracy of 4 centimeters, and all Down (Figure 26c) position RMSE values fall within the prescribed accuracy of 8 centimeters.



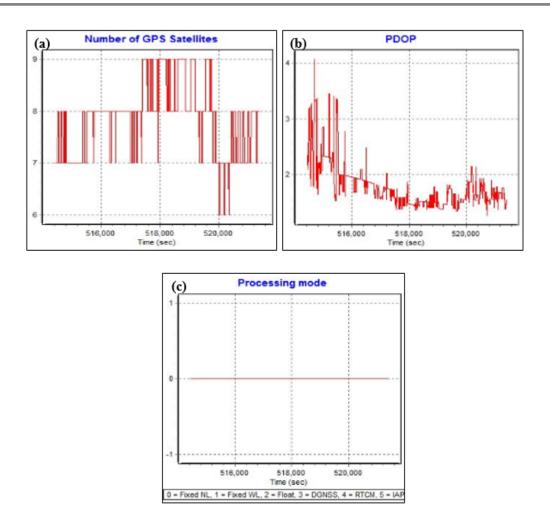


Figure 27. Solution Status Parameters of Iponan flight

The Solution Status parameters of the computed trajectory for Iponan flight, which are the number of GPS satellites, Positional Dilution of Precision (PDOP), and the GPS processing mode used are shown in Figure 27. The number of GPS satellites (Figure 27a) graph indicates that majority of the time, the number of satellites during the acquisition was between 8 and 9. The PDOP (Figure 27b) value does not exceed the value of 3, indicating optimal GPS geometry. The processing mode (Figure 27c) stayed at the value of 0, which corresponds to a Fixed, Narrow-Lane mode, which indicates an optimum solution for trajectory computation by POSPac MMS v6.2. All of the para meters satisfied the accuracy requirements for optimal trajectory solutions as indicated in the methodology.



4.2.2 LiDAR Point Cloud Computation

The LAS data output contains 12 flight lines, with each flight line containing two channels, a feature of the Pegasus system. The result of the boresight correction standard deviation values for both channel 1 and channel 2 are better than the prescribed 0.001°. The position of the LiDAR system is also accurately computed since all GPS position standard deviations are less than 0.0016 meter. The attitude of the LiDAR system passed accuracy testing since the standard deviation of the corrected roll and pitch values of the IMU attitudes are less than 0.001°.

4.2.3 LiDAR Data Quality Checking

The LAS boundary of the LiDAR data on top of the SRTM elevation data is shown in Figure 28. The map shows gaps in the LiDAR coverage that are attributed to cloud cover present during the survey.

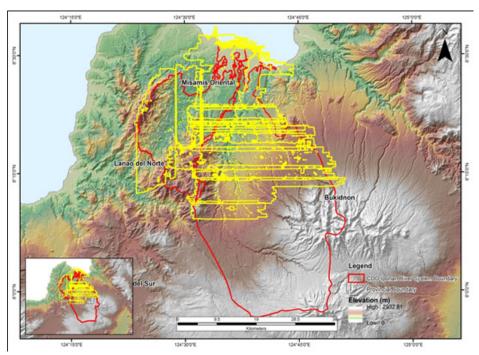


Figure 28. Coverage of LiDAR data for the Cagayan de Oro and Iponan mission

The overlap data for the merged LiDAR data showing the number of channels that pass through a particular location is shown in Figure 29. Since the Pegasus system employs two channels, an average value of 2 (blue) for areas where there are only two overlapping flight lines, and a value of 3 (yellow) or more (red) for areas with three or more overlapping flight lines, are expected. A value of 1 or 0 could occur if there are too few laser returns, which happens at water bodies, as seen on the overlap values in Macajalar Bay. Values of 5 and 6 naturally occur at the interface of the two flights. The average data overlap for this Cagayan de Oro and Iponan flight is 38.45%.



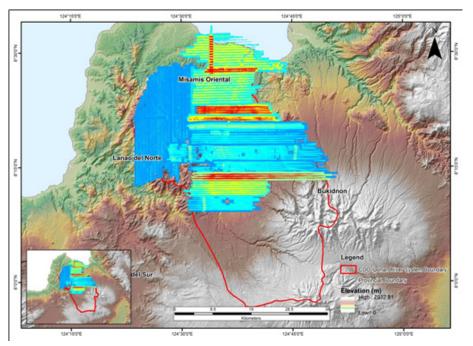


Figure 29. Image of data overlap for the Cagayan de Oro and Iponan mission

The density map for the merged LiDAR data, with the red areas showing the portions of the data that satisfy the 2 points per square meter requirement, is shown in Figure 30. It was determined that the red area covers 94.5% of the total area, and as seen on the figure, the majority of the pixels that did not satisfy the criterion coincided with the water bodies, particularly the main river, and the Macalajar Bay. It was determined that the average density for the area is 2.9 points per square meter.

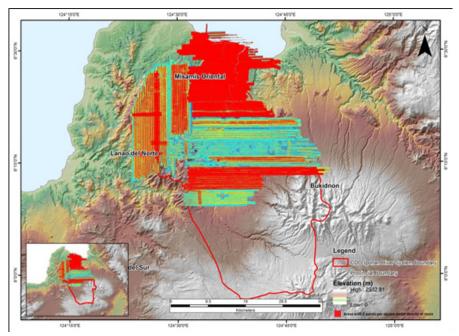


Figure 30. Density map of merged LiDAR data for the Cagayan de Oro and Iponan mission

The elevation difference between overlaps of adjacent flight lines is shown in Figure 31. The default color range is from blue to red, where bright blue areas correspond to a -0.20 m difference, and bright red areas correspond to a +0.20 m difference. Areas with bright red or bright blue need to be investigated further using QT Modeler.

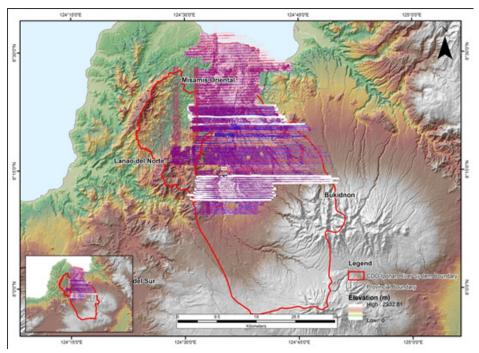


Figure 31. Elevation difference map between flight lines

A screen capture of the LAS data loaded in QT Modeler is shown in Figure 32a. A line graph showing the elevations of the points from all of the flight strips traversed by the profile in red line is shown in Figure 32b. It is evident that there are differences in elevation, but the differences do not exceed the 20-centimeter mark. No reprocessing was necessary for this LiDAR dataset.



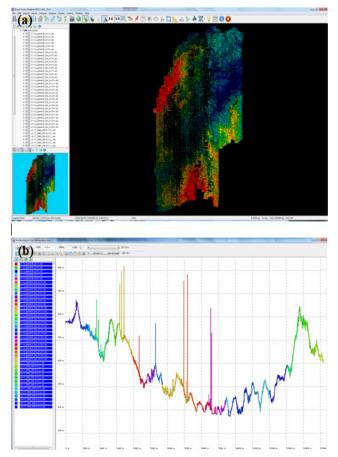


Figure 32. Quality checking with the profile tool of QT Modeler

4.2.4 LiDAR Point Cloud Classification and Rasterization

The block system that TerraScan employed for the LiDAR data is shown in Figure 33a generated a total of 340 1 kilometer by 1 kilometer blocks. The final classification of the point cloud for a mission in the Cagayan de Oro and Iponan floodplain is shown in Figure 33b. The number of points classified to the pertinent categories along with other information for the mission is shown in Table 11.

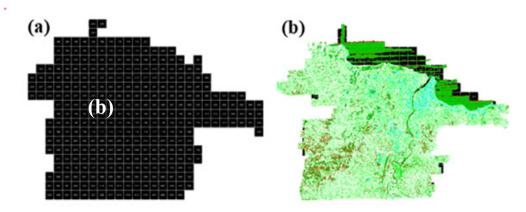


Figure 33. (a) Cagayan de Oro and Iponan blocks and (b) Cagayan de Oro and Iponan classification results in TerraScan



Pertinent Class	Count
Ground	185,754,497
Low Vegetation	242,883,387
Medium Vegetation	198,119,317
High Vegetation	100,861,591
Building	45,637,481
Number of 1km x 1km blocks	340
Maximum Height	239.32
Minimum Height	34.57

Table 11. Cagayan de Oro and Iponan classification results in TerraScan

An isometric view of an area before (a) and after (b) running the classification routines for the mission is shown in Figure 34. The ground points are in brown, the vegetation is in different shades of green, and the buildings are in cyan. It can be seen that residential structures adjacent or even below canopy are classified correctly, due to the density of the LiDAR data.

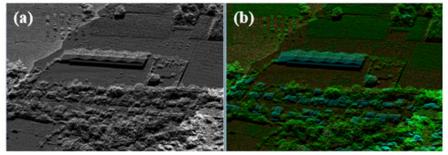


Figure 34. Point cloud (a) before and (b) after classification

4.2.5 DEM Editing and Hydro-correction

Portions of DTMs before and after manual editing are shown in Figure 28. Figure 28a shows an example of a small stream that suffers from discontinuity of flow due to an existing bridge. The bridge was removed in order to hydrologically correct the flow of water through the river as seen in Figure 28b.

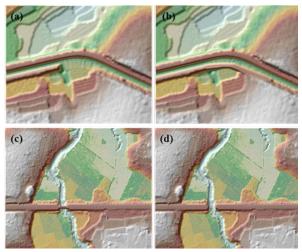


Figure 35. Images of DTMs before and after manual editing



The extent of the validation survey done by the Data Validation Component (DVC) in Cagayan de Oro and Iponan to collect points with which the LiDAR dataset is validated is shown in Figure 36. A total of 407 and 428 control points were collected for Cagayan de Oro and Iponan, respectively. The good correlation between the airborne LiDAR elevation values and the ground survey elevation values, which reflects the quality of the LiDAR DTM is shown in Figure 37 and Figure 38. The computed RMSE between the LiDAR DTM and the surveyed elevation values is 7.385 centimeters with a standard deviation value of 7.379 centimeters for Cagayan de Oro. The computed RMSE between the LiDAR DTM and the surveyed elevation values is 7.364 centimeters with a standard deviation value of 7.358 centimeters for Iponan. The LE 90 value represents the linear vertical distance that 90% of the sampled DEM points and their respective DVC validation point counterparts should be found from each other. Other statistical information can be found in Table 12 and Table 13. The final DTM and extent of the bathymetric survey done along the river is shown in Figure 39 and Figure 40.

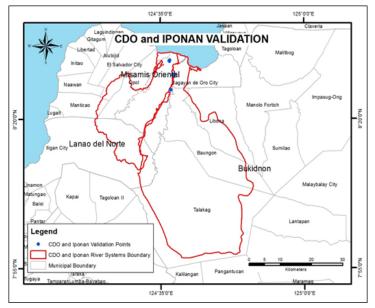


Figure 36. Map of Cagayan de Oro and Iponan River System with validation survey shown in blue

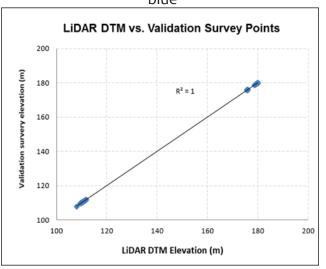
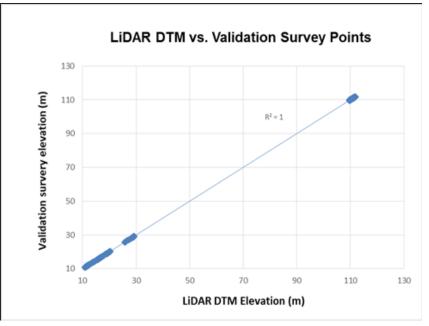


Figure 37. One-one Correlation plot between topographic and LiDAR data for Cagayan de Oro



Values
-14.878
14.122
7.385
7.379
11.748

Table 12 Ctatictical	values for the	colibrotion o	f Caravan	de Ore flighte
Table 12. Statistical	values for the	Calibration o	n Cagayan (ue oro nights





Statistical Information (cm)	Values
Min	-14.885
Max	14.144
RMSE	7.364
Standard Deviation	7.364
LE90	11.284

Table 13. Statistical values for the calibration of Iponan flights



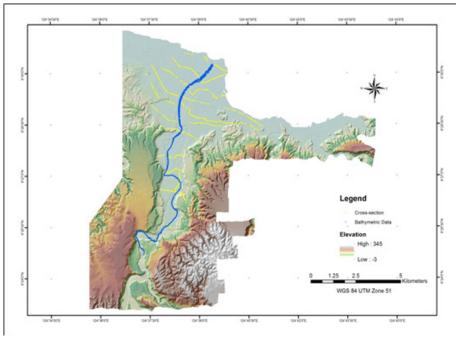


Figure 39. Final DTM of Cagayan de Oro with validation survey shown in blue

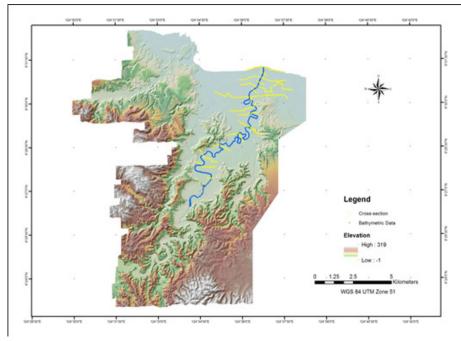


Figure 40. Final DTM of Iponan with validation survey shown in blue

The floodplain extent for Cagayan de Oro and Iponan is also presented, showing the completeness of the LiDAR dataset and DSM produced, is shown in Figure 41. Samples of 1 kilometer by 1 kilometer of DSM, DTM, and orthophoto are shown in Figure 42, Figure 43, and Figure 44 respectively.



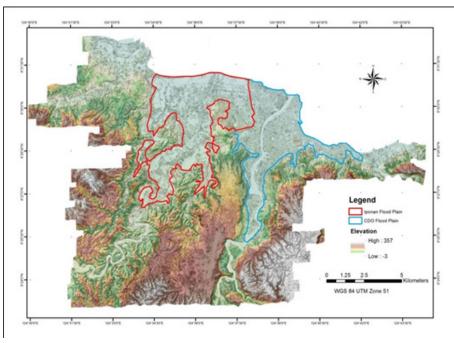


Figure 41. Final DSM in Cagayan de Oro and Iponan

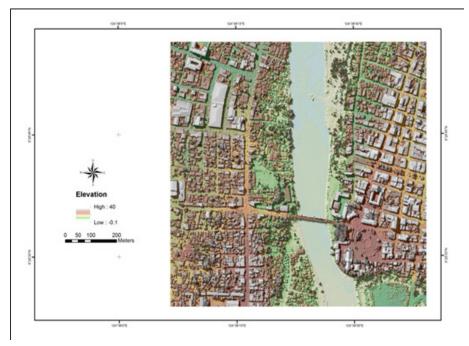


Figure 42. Sample 1x1 square kilometer DSM



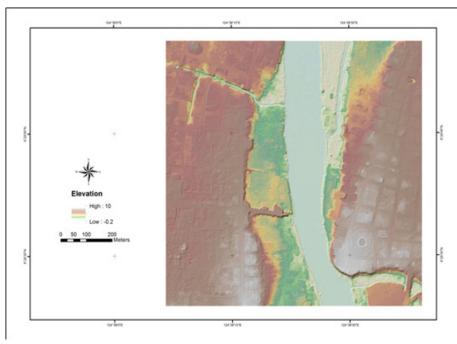


Figure 43. Sample 1x1 square kilometer DTM

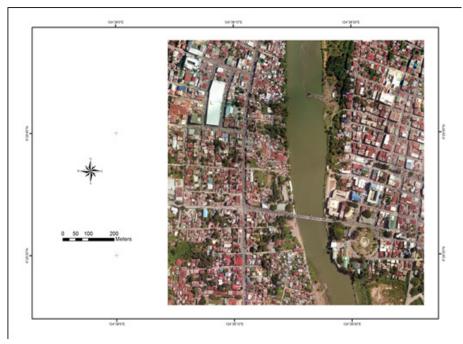


Figure 44. Sample 1x1 square kilometer Orthophoto









ANNEX A. OPTECH TECHNICAL SPECIFICATION OF THE PEGASUS SENSOR

Parameter	Specification
Operational envelope (1,2,3,4)	150-5000 m AGL, nominal
Laser wavelength	1064 nm
Horizontal accuracy (2)	1/5,500 x altitude, 1σ
Elevation accuracy (2)	< 5-20 cm, 10
Effective laser repetition rate	Programmable, 100-500 kHz
Position and orientation system	POS AV ™AP50 (OEM)
Scan width (FOV)	Programmable, 0-75 °
Scan frequency (5)	Programmable, 0-140 Hz (effective)
Sensor scan product	800 maximum
Beam divergence	0.25 mrad (1/e)
Roll compensation	Programmable, ±37° (FOV dependent)
Vertical target separation distance	<0.7 m
Range capture	Up to 4 range measurements, including 1st, 2nd, 3rd, and last returns
Intensity capture	Up to 4 intensity returns for each pulse, including last (12 bit)
Image capture	5 MP interline camera (standard); 60 MP full frame (optional)
Full waveform capture	12-bit Optech IWD-2 Intelligent Waveform Digitizer
Data storage	Removable solid state disk SSD (SATA II)
Power requirements	28 V, 800 W, 30 A
Dimensions and weight	Sensor: 630 x 540 x 450 mm; 65 kg;
	Control rack: 650 x 590 x 490 mm; 46 kg
Operating Temperature	-10°C to +35°C
Relative humidity	0-95% non-condensing

1 Target reflectivity ≥20%

2 Dependent on selected operational parameters using nominal FOV of up to 40° in standard atmospheric conditions with 24-km visibility

3 Angle of incidence ≤20°

4 Target size ≥ laser footprint

5 Dependent on system configuration



ANNEX B. OPTECH TECHNICAL SPECIFICATION OF THE D-8900 AERIAL DIGITAL CAMERA

Parameter	Specification	
	Camera Head	
Sensor type	60 Mpix full frame CCD, RGB	
Sensor format (H x V)	8, 984 x 6, 732 pixels	
Pixel size	6μm x 6 μm	
Frame rate	1 frame/2 sec.	
FMC	Electro-mechanical, driven by piezo technolo- gy (patented)	
Shutter	Electro-mechanical iris mechanism 1/125 to 1/500++ sec. f-stops: 5.6, 8, 11, 16	
Lenses	50 mm/70 mm/120 mm/210 mm	
Filter	Color and near-infrared removable filters	
Dimensions (H x W x D)	200 x 150 x 120 mm (70 mm lens)	
Weight	~4.5 kg (70 mm lens)	
Controller Unit		
Computer	Mini-ITX RoHS-compliant small-form-factor embedded computers with AMD TurionTM 64 X2 CPU 4 GB RAM, 4 GB flash disk local storage	
	IEEE 1394 Firewire interface	
Removable storage unit	~500 GB solid state drives, 8,000 images	
Power consumption	~8 A, 168 W	
Dimensions	2U full rack; 88 x 448 x 493 mm	
Weight	~15 kg	
Image P	ge Pre-Processing Software	
CaptureOne	Radiometric control and format conversion, TIFF or JPEG	
Image output	8,984 x 6,732 pixels 8 or 16 bits per channel (180 MB or 360 MB per image)	



ANNEX C. THE SURVEY TEAM

	1		
Data Acquisition Component Sub-team	Designation	Name	Agency/ Affiliation
Data Acquisition Component Leader	Data Component Project Leader -I	ENGR. CZAR JAKIRI S. SARMIENTO	UP TCAGP
Survey Supervisor	Chief Science Research Specialist (CSRS)	ENGR. CHRISTOPHER CRUZ	UP TCAGP
LiDAR Operation	Senior Science Research Spe- cialist	MARK GREGORY ANO	UP TCAGP
	Research Associate	JASMINE ALVIAR	UP TCAGP
Data Download and Transfer	Research Associate	CHRISTOPHER JOAQUIN	UP TCAGP
Ground Survey	Research As- sociate	ENGR. GEROME HIPOLITO	UP TCAGP
LiDAR Operation	Airborne Se- curity	SSG. PRADYUMNA DAS RAMIREZ	Philippine Air Force (PAF)
LiDAR Operation	Pilot	CAPT. JAMAAL CLEMENTE	AEROSPACE CORP (AAC)
LiDAR Operation	Co-pilot	MARK TANGONAN	AAC



ANNEX D. NAMRIA CERTIFICATIONS

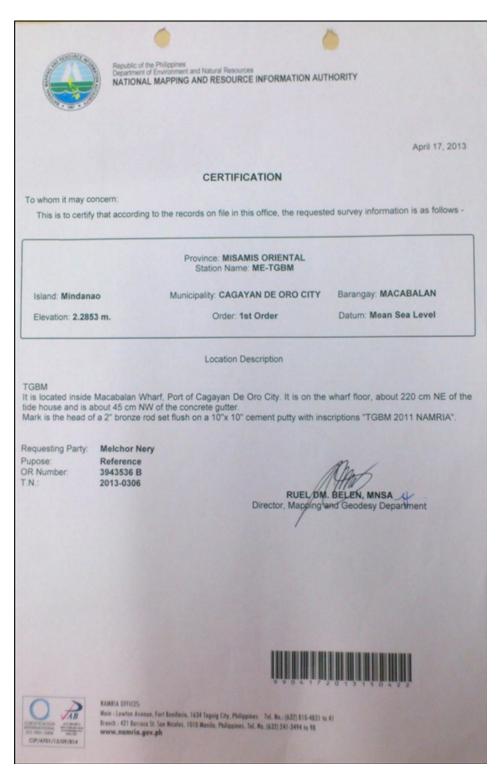
MSE-3241

					April 18, 201
-		CER	TIFICATION		
	may concern: o certify that according to	the records on	file in this office the requ	ested survey infr	ormation is as follows
				losted survey into	ormation is as follows
			SAMIS ORIENTAL ame: MSE-3241		
Island: N	IINDANAO	Orde			
	ity: CAGAYAN DE ORO			Barangay: B	ARANGAY 10 (POB
Latitude:	(CAPITAL) 8° 27' 31.07607''		92 Coordinates 124º 37' 23.18891"	Ellipsoidal H	at 100 40700
			84 Coordinates	Ling/Solual H	gt 109.46700 m.
Latitude:	8° 27' 27,49608"		124º 37' 28.59587"	Ellipsoidal H	gt: 177.05500 m.
		-	M Coordinates	Linpsoidal H	gr. 177.05500 m.
Northing:	935289.375 m.	Easting:	458499.251 m.	Zone: 5	
		UTI	M Coordinates		
Northing:	935,314.30	Easting:	678,684.71	Zone: 5	51
	the center island along M g. and Super Mart Mall, al in a 25 cm. x 25 cm. conc Party: UP DREAM/ Mell Reference 3943540 B 2013-0311		RI	UEL DM. BELEN	



Annexes

ME-TGBM





ANNEX E. DATA TRANSFER SHEETS

	-	R R	ne_R	ne_R	ne_R	ne_R	ne_R		
	SERVER	Z'Mirborne_R aw/197G		Z:\Airborne_R aw/199P	Z:\Airborne_R aw\200P	Z:\Airborne_R aw\201P	Z:\Airbome_R aw/202P		
	FLIGHT	155KB	56.4KB	53.8KB	7.82MB	150KB			
	OPERATOR COMMENTS (DPC LOGS)	4727 BYTES	575 BYTES	800 BYTES	209 BYTES	1.14KB			
	RANGE DIGITIZER STATION(S) (DPC LOGS)	5.47MB	6.41MB	6.41MB	8.72MB	8.72MB	9.89MB		frid
	DIGITIZER	NIA	N/A	NVA	NIA	NIA			Name/Signature JUIDA PRIETO SALLA
TEET	RANGE	14.5 GB N/A	19.1GB N/A	12.9GB N/A	15.8GB N/A	10.7GB N/A	9.91GB N/A		10A PI
DATA TRANSFER SHEET May 2, 2013	NU	263 KB	242KB	133KB	201KB	177KB	126KB	by	ature J010 5-14-13
A TRAN	RAW	32.1 GB	30.9GB	17.6GB	27.1GB	25.3GB	87.3MB 930KB 155MB 16.5GB	Received by	Name/Sign Position
DAI	POS	653KB 307MB	320MB	115MB	137MB	180MB	155MB		pal -
	LOGS POS	653KB	1.05MB	674KB	927KB	1.08MB	930KB		- ague
	RAW	N/N	165MB	113MB	156MB	65.1MB	87.3MB		matin .
	SENSOR	GEMINI	PEGASUS	PEGASUS 113MB 674KB 115MB	PEGASUS 156MB 927KB 137MB	PEGASUS 65.1MB 1.08MB 180MB	PEGASUS		gnorure autory matrix / grades-
	MISSION NAME SENSOR	2P8DS115 / Gemini Test Plus Pam 8 D Supplementary Flight	1001A116A PEGASUS 165MB 1.05MB 320MB	1TAG1A116B	1CD01B117A	Apr 27, 2013 201P 1AGU1A117B	Apr 28, 2013 202P 14GU1B118A	Received from	Name/Signature Position
	HT NO.	3 197G	3 198P	3 199P		3 201P	3 202P		
	DATE	2P8D5 Gemir Plus F Suppl Apr 25, 2013 197G Flight	Apr 26, 2013 198P	Apr 26, 2013 199P 1TAG1A	Apr 27, 2013 200P	Apr 27, 201	vpr 28, 201		

Data Transfer Sheets for 1CDOA116A and 1CDOB117A



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ANNEX F. FLIGHT LOGS

Flight Log for 1CDOA116A Mission

THEAM Data Acquisition Flight Log	EAM Data Acquisition Flight Log	CAN A Mission Name: (COCANER	4 Type: VFR	5 Aircraft Type: Cesnna T206H	Flight Log No.: 143
7 Filot: J. Clenchte	8 Co-Pilot: M. Tawacasu 9 Route: CDO 12 Airport of Departure (Airport, City/Province):	9 Route: CDD Airport, City/Province): 1	12 Airport of Arrival	12 Airport of Arriva! (Airport, Gty/Province):	
	L4 Engine Off: 24 Engine Off: 2억녁&	15 Total Engine Time: 1	16 Take off: 645	17 Landing: 73 c	18 Total Flight Time: 2 }4.5
19 Weather	partly clendy which appresenting	girblind purchaspipe +	h		
20 Remarks :	lots of our trathic held in survey live tricc.	held in survey	line thice		
	tween capbe.				
21 Problems and Solutions:					
Acquisition Flight Approved by MAL & MAL Synstrum Gover Printed Harne (End Upfer Representative)	V	Aconsistion Flatt Cartified by Aconsistion Flatt Cartified by The Freed Connor Pointees Far Separations	Pilotio Connesso D. J.C.W.	Att the second s	Lidar Operator Model & Ando Signification over Printed Name
					DREAM 🛞

Annexes

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Flight Log for 1CDOB117A Mission

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16 Take off: 405 1974 1941	16 Take off: 4CS 534 541	15 Total Engine Time: 16 Take off: 405 197- 1911	14 Engine Off: 15 Total Engine Time: 16 Take off: 415 Grav Brr 1, 2 J. 1 BEN EM
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		3,9,5,6	Not finiguel: 2,3,9,5,6
			21 Problems and Solutions:
Blockin-Command			
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		According to the second s	DIS: toproved by Academic Flant Co



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